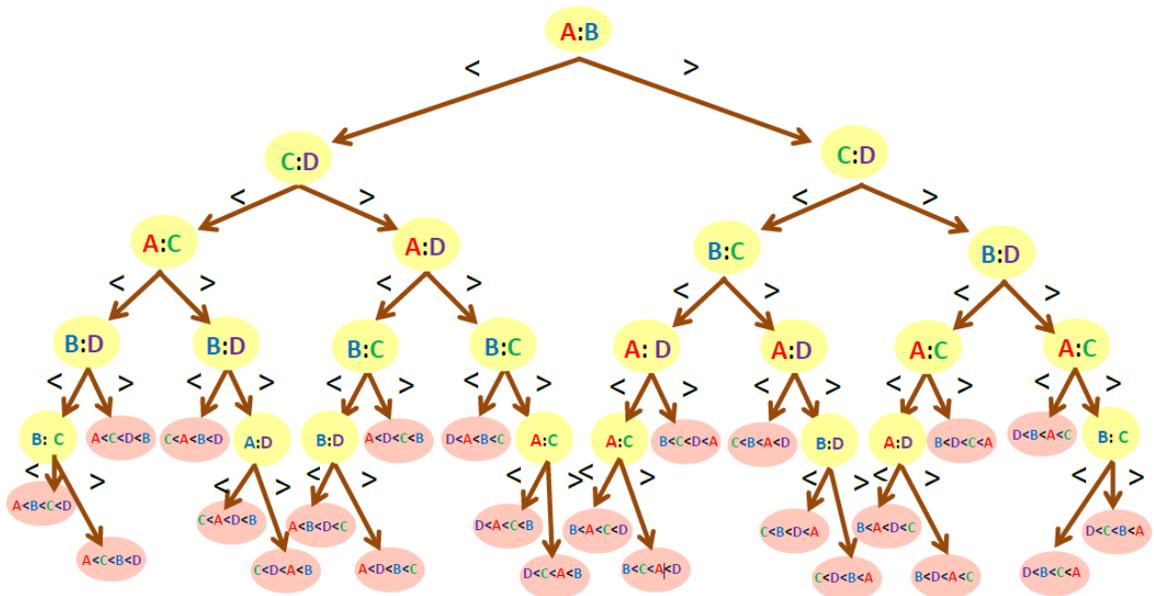


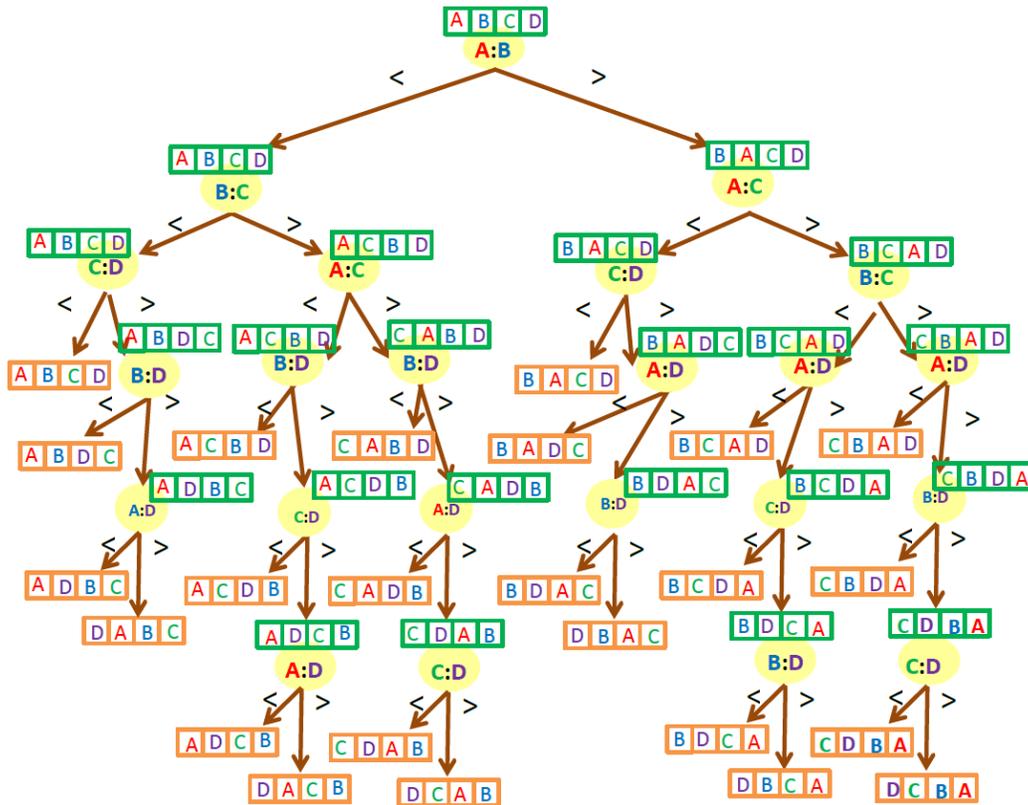
Problem 8-1 (a) Please give an optimal decision tree with four elements a, b, c, and d. (b) Please give a decision tree for insertion sort operating on four elements a, b, c, and d.

**Solution:**

(a)



(b)



**Problems 8-2 Sorting in place in linear time**

Suppose that we have an array of  $n$  data records to sort and that the key of each record has the value 0 or 1. An algorithm for sorting such a set of records might possess some subset of the following three desirable characteristics:

1. The algorithm runs in  $O(n)$  time.
  2. The algorithm is stable.
  3. The algorithm sorts in place, using no more than a constant amount of storage space in addition to the original array.
- a. Give an algorithm that satisfies criteria 1 and 2 above.
  - b. Give an algorithm that satisfies criteria 1 and 3 above.
  - c. Give an algorithm that satisfies criteria 2 and 3 above.
  - d. Can any of your sorting algorithms from parts (a)-(c) be used to sort  $n$  records with  $b$ -bit keys using radix sort in  $O(bn)$  time? Explain how or why not.
  - e. Suppose that the  $n$  records have keys in the range from 1 to  $k$ . Show how to

modify counting sort so that the records can be sorted in place in  $O(n + k)$  time. You may use  $O(k)$  storage outside the input array. Is your algorithm stable? (Hint: How would you do it for  $k = 3$ ?)

**Solution:**

- a. Counting sort runs in  $O(n)$  time and is a stable sorting algorithm.
- b. We can perform one pass of a Quicksort PARTITION algorithm around the pivot  $x = 0$ . If it is a Lomuto PARTITION, it will place all elements  $\leq 0$  (all 0's) on the left and all elements  $> 0$  (all 1's) on the right. Effectively, this sorts the array. It is in place, and it has a  $\Theta(n)$  running time. The only thing is that we have to work with a fictitious pivot that is not necessarily an element of the array, and hence we do not have to worry about correctly placing that pivot.

$i \leftarrow 0$

for  $j \leftarrow 1$  to  $n$

do if  $A[j] \leq 0$

then  $i \leftarrow i + 1$

*exchange*  $A[i] \leftrightarrow A[j]$

- c. Insertion sort is an in place sorting algorithm. It is also stable. To see this consider  $A[i] = A[j]$  and  $i < j$ . Since  $i < j$ ,  $A[i]$  will be considered first.  $A[i]$  will be added at the correct position (by shifting) into the sorted array  $A[1..i-1]$ . This will result in a sorted array  $A[1..i]$  containing the original  $A[i]$  at some position  $k \leq i$ . So  $A[i]$  is now  $A[k]$ . When  $A[j]$  is considered,  $A[j]$  has to be shifted down into  $A[1..j-1]$  of which  $A[1..i]$  is a subarray containing  $A[k]$  (originally  $A[i]$ ).  $A[j]$  cannot bypass  $A[k]$  in the shifting process because  $A[k] = A[j]$ . Therefore, the original  $A[i]$  and the original  $A[j]$  will preserve their relative order.
- d. part (a) – Counting sort. Counting sort runs in  $O(n)$  times, and for  $b$ -bit keys with each bit value varies from 0 to 1, we can sort in  $O(b(n + 2)) = O(bn)$  time.
- e. The modified counting sort is not stable:
  1. Initialize  $c[0], c[1], \dots, c[k]$  to 0
  2. /\* First, set  $c[j] = \#$  elements with value  $j$  \*/  
For  $x = 1, 2, \dots, n$ ; increase  $c[A[x]]$  by 1
  3. /\* Set  $c[j] =$  location to place next element whose value is  $j$  (iteratively)\*/  
For  $y = 1, 2, \dots, k$ ;  $c[y] = c[y-1] + c[y]$
  4. /\* Set  $p[j] = c[j]$  to record correct position for placing elements\*/  
For  $y = 0, 1, \dots, k$ ;  $p[y] = c[y]$

```
5. /* Process A*/
6. x = 1;
   While  $x \leq n$ 
   {
     /* If  $A[x]$  is correctly placed */
     if( $p[A[x]-1] < x \ \&\& \ x \leq p[A[x]]$ )
       /* incrementing  $x$  to check next position */
        $x = x + 1$ 
     /* else */
     else
       /* put  $A[x]$  in place, exchanging with the element there */
       Exchange  $A[x]$  with  $A[c[A[x]]]$ 
       /* Update counter */
       Decrease  $c[A[x]]$  by 1;
   }
```